

OBJECTIVE	STRATEGIES	INPUT	OUTPUT	OUTCOME	
<b>INTERNAL AUDIT</b>					
To develop one (1) three year rolling internal audit plan by July 2015	Internal Audit	Human Resources	Three [3] year and Annual Internal Audit Plan and audit reports	Improved Audit Opinion	
To monitor the implementation of 100% AG Matters for 2014/2015 by June 2016		Human Resources	AG Implementation Plan		
To facilitate and monitor 100% payments of auditor general South Africa by		Human and Financial Resources	Support provided and payments facilitated		
To assess and evaluate four (4) municipal performance information on a quarterly basis by July 2017		Human Resources	Performance Reports		High level of Productivity
To review internal controls by June 2016		Human and Financial Resources	Regularity Audit reports		Improved Internal Controls

		Human Resources and Financial Resources	ICT Audit reports	Reliable ICT Environment
To execute 100% Ad Hoc audit by June 2016		Human Resources and Financial Resources	Ad Hoc Management Report	Improved Internal Controls
To facilitate 100% activities of audit committee on a quarterly basis by June 2016	Administration	Human Resources and Financial Resources	Reports	Effective Oversight

**RISK MANAGEMENT**

To protect the Institution against risks	Conduct Risk Assessment	Human Resources	Risk Management report	Improved AG opinion Risk averse workforce Accomplished objectives
		Human Resources	Risk Management report	Improved AG opinion
		Human Resources and Financial Resources	Risk Management report	Sustainable projects

To safeguard the Assets of the municipality with 100% Insurance Coverage through alternative risk transfer techniques by June 2016	Appraise the adequacy of the current assets insurance	Human Resources and Financial Resources	Insurance Policy	Insured Assets
	Review Insurance Cover and contract	Human Resources and Financial Resources	Claims Report	Indemnified Losses
	Assess Claims	Human Resources and Financial Resources	Safeguarded assets, employees and visitors	Improved Security
	Assess Claims	Human Resources and Financial Resources	Claims Report	Reduced Financial Liability
To 100% safeguard the SDM Assets, Its Employees and visitors through physical security till June 2016	Manage and monitor security operations	Human Resources and Financial Resources	Safeguarded assets, employees and visitors	Improved Security
		Secured operational sites	Improved Security	Security Maintenance/ Fencing
To avert 100 % of fraud and corrupt activities till June 2016	Operate and Maintenance Disclosure Hotline	Human Resources and Financial Resources	Disclosure Hotline	Reduced Fraud & Corruption

To secure operational sustainability of the municipality 100% by June 2016	Conduct Business Impact Analysis exercises	Human Resources and Financial Resources	Business Continuity plan	Improved resilience of Operations
To improve Good Governance	Collate information , compile reports and table them at Council structures	Human Resources and Financial Resources	Risk Management reports	Enforcing and fostering Compliance
To improve risk management skills and knowledge	Provide Risk Management training	Human Resources and Financial Resources	Training module	Capacitated staff & councillors
		Human Resources and Financial Resources	Membership Certificate	Improved skills and knowledge

**FIRST DRAFT 2015/2016 SERVICE DELIVERY BUDGET AND IMP**

**MUNICIPAL MANAGERS OFFICE**

<b>PROJECTS</b>	<b>BASELINE 2014/15</b>	<b>INDICATORS</b>	<b>ANNUAL TARGET 2015/2016</b>	<b>Q1</b>
3 Year rolling plan (GGPP/018/2015-2016)	2014-2015 Three year and Annual IA Plan developed	Percentage development and implementation of the Three Year rolling Internal Audit Plan	100% development and implementation of Three Year rolling Internal Audit Plan*100% implementation	*100% development and implementation of Three Year rolling Internal Audit Plan* 25% implementation
Operation Clean Audit (GGPP/019/2015-2016)	Percentage of 2013/2014 AG matters resolved	Percentage Implementation of 2014/2015 AG matters	100% implementation of 2014/2015 AG Matters	100% implementation of 2014/2015 AG Matters
Support of External Audit (GGPP/020/2015-2016)	100% support of external audit work and payments of 2013/2014	Percentage support to external audit and payments facilitation	100% support and payment facilitation of external audit work	100% support and payment facilitation of external audit work
Performance Audit (GGPP/021/2015-2016)	4 Performance reports assessed and evaluated	Number of Performance reports assessed and evaluated	4 Performance Audit reports	1 Performance Audit report
Regularity Audit (GGPP/022/2015-2016)	32 Regularity audits issued	Number of regularity audit conducted	36 Regularity audits	8 Regularity audits

ICT Audits (GGPP/023/2015-2016)	3 ICT Audit report 2014-2015	Number of ICT audit conducted	4 ICT Audit reports	1 ICT Audit report
Ad Hoc Audits (GGPP/023/2015-2016)	100% Ad Hoc report issued 2014-2015	Percentage of Ad Hoc audit executed	100% Ad hoc report issued	100% Ad hoc report issued
Audit Committee (GGPP/024/2014-2015)	Six[6] Meetings Facilitated	Number of AC meetings Facilitated and reports issued	4 meetings facilitated and 4 reports issued	1 Meeting facilitated and 1 report issued]



Strategic Risk Assessment (GGPP/024/2015-2016)	2014/2015FY Risk Assessments Registers	Number of risk assessment conducted	1x risk assessment conducted  4 x Strategic Risk Register review	1 x Strategic Risk Register reviewed
Operational Risk Assessment (GGPP/024/2015-2016)	2014/2015 FY Risk Assessments Registers	Number of risk assessment conducted	7 x risk assessment conducted  28 x Operational risk registers reviewed	7 x operational Risk Register review
Project Risk Assessment (GGPP/024/2015-2016)	2014/2015 FY Projects Risk Registers	Number of Projects Assessed	10 x Projects Assessed	3 x Projects assessed

Insurance Management	2014/2015 FY Assets Insurance Policy contract	Percentage of Assets coverage	100% Coverage of Insurable Assets	100% Assets Insured
(GGPP/030/2014-2015)				100% Insurance Claims reported
	2014/2015 FY Insurance Excess paid	Percentage insurance excess payment processed	100% Insurance Excess Processed	100% Insurance Excess Processed
	Self Insurance	Percentage amount processed on self insurance	100% Self-insurance payment facilitated	100% Self-insurance payment facilitated
Security Management	2014/2015FY Security Contracts	Percentage reduction in security related incidents	100% Safeguarded Assets, Employees and Visitors	100% Assets, Employees and Visitors safeguarded
Operational sites Assessed (GGPP/032/2015-2016)		Number of Operational sites assessed	8 Operational Sites assessed	2 x Operational Sites assessed
Disclosure Hotline (GGPP/034/2015-2016)	Anti-fraud and corruption Disclosure Hotline	Percentage monitoring of Anti-Fraud and Corruption activities	100% of reported cases referred for investigation	100% reported cases referred

Business Continuity Management plan (GGPP/035/2015-2016)	2014/2015 Business Continuity Management Strategy	Percentage development of Business Continuity Management plan	100% Business Continuity Management (BCM ) plan developed	60% of BCM developed
Risk management report(GGPP/036/2015-2016)	Four (4) 2014/2015 FY Quarterly Reports	Number of risk management reports generated	Eight (8) risk management reports generated	2 x Reports generated
Training (GGPP/037/2014-2015)	2014/2015 FY Training conducted for Management, Councillors and Risk Champions	Number of Risk Management training initiatives conducted	Two (2) Annual Training initiatives	1 x Training conducted
			Three (3) Membership subscriptions	No Activity



## IMPLEMENTATION PLAN

Q2	Q3	Q4	EVIDENCE
50% implementation	75% implementation	100% implementation	*Approved Three Year rolling Internal Audit Plan* Audit reports
100% implementation of 2014/2015 AG Matters	100% implementation of 2014/2015 AG Matters	100% implementation of 2014/2015 AG Matters	Agenda/Minutes/Reports
100% support and payment facilitation of external audit work	100% support and payment facilitation of external audit work	100% support and payment facilitation of external audit work	Support program and Claim schedule Register/Proof of payments
2 Performance Audit reports	3 Performance Audit reports	4 Performance Audit reports	Performance Audit Reports
16 Regularity audits	26 Regularity audits	36 Regularity audits	Regularity Audit Reports

2 ICT Audit report	3 ICT Audit report	4 ICT Audit report	ICT Audit Reports
100% Ad hoc report issued	100% Ad hoc report issued	100%Ad hoc report issued	Ad-hoc Reports
2 Meetings facilitated and 2 reports issued	3 Meetings facilitated and 3 reports issued	4 Meetings facilitated and 4 reports issued	Minutes/Agenda/AC Reports
2 x Strategic Risk Register reviewed	3 x Strategic Risk Register reviewed	4 x Strategic Risk Register reviewed 1 x Strategic Risk Assessment conducted	New Risk Registers Reviewed Risk Registers
14 x operational Risk Register review	21 x operational Risk Register review	28 x operational Risk Register reviewed 7 x Risk Assessments conducted	New Risk Registers Reviewed Risk Registers
5 x Projects assessed	8 x Projects assessed	10 x Projects assessed	Projects Risk Registers report

100% Assets Insured	100% Assets Insured	100% Assets Insured	Assets Insurance Policy Contract  Minutes of meetings
100% Insurance Claims reported	100% Insurance Claims reported	100% Insurance Claims reported	Quarterly Insurance Claims Report
100% Insurance Excess Processed	100% Insurance Excess Processed	100% Insurance Excess Processed	Insurance Claims report and Files
100% Self-insurance payment facilitated	100% Self-insurance payment facilitated	100% Self-insurance payment facilitated	Insurance Claims report and Files
100% Assets, Employees and Visitors safeguarded	100% Assets, Employees and Visitors safeguarded	100% Assets, Employees and Visitors safeguarded	Operational Sites Security Report  Minutes of meetings
4 x Operational Sites assessed	6 x Operational Sites assessed	8 x Operational sites assessed	Threat Risk Analysis Report
100% reported cases referred	100% reported cases referred	100% reported cases referred	Disclosure Hotline contract Service Level Agreement, Quarterly reports

70% of BCM plan developed	80% of BCM plan developed	100% of BCM plan developed	Approved Business Continuity Management plan
4 x Report generated	6 x Report generated	8 x Report generated	Governance Reports
No Activity	2 x Training conducted	No Activity	Training Report Attendance register
No Activity	3 x membership subscription facilitated	No Activity	Membership Certificates

BUDGET 2015/16	IMPACT
R 0	Improved Good Governace and Financial Management
R 0	
2,829,600.00	
R 0	
733,600.00	

inc

inc

524,000.00



R0,00	Improved Accountability
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R0,00	Sustainable business operations
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R0,00	Sustainable business operations
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R1m	Sustainable business operations
R 200,000.00	
incl	
R27m	Sustainable Service Delivery and Safe working environment
100,000.00	Improved Public confidence

R0,00	Sustainable business operations
R0,00	Improved Accountability
R0,00	Sustained business operations